

091-195648

For Internal Use Only

Sec File No. 9- RECEIVED

Submit 1 Original
and 9 Copies

OMB APPROVAL

OMB Number: 3235-0504
Expires: July 31, 2016
Estimated average burden
hours per response. . . . 3.60

2015 NOV 13 PM 2:59

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SEC / MR

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



15020941

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
BATS Exchange, Inc.
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporate SEC):
See Attached. **SEC**
3. Class of New Derivative Securities Product:
See Attached. **Mail Processing Section**
4. Name of Underlying Instrument:
See Attached. **NOV 13 2015**
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See Attached. **Washington DC**
6. Ticker Symbol(s) of New Derivative Securities Product:
See Attached. **404**
7. Market or Markets Upon Which Securities Comprising Underlying Instrument
Trades: See Attached.
8. Settlement Methodology of New Derivative Securities Product:
Trades Locked in at Exchange and settled at NSCC.
9. Position Limits of New Derivative Securities Product (if applicable):
Not Applicable.

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: 913-815-7154

Manual Signature of Official Responsible for Form:

Date: November 12, 2015

SECURITIES AND EXCHANGE ACT OF 1934
Public Availability
NOV 13 2015

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC.
 COMMENCING ON NOVEMBER 11, 2015

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
GSIE	Trust	Investment Company Units	Goldman Sachs ActiveBeta International Equity Index	World	Broad
ALFI	Trust	Investment Company Units	AlphaClone International Downside Hedged Index	World	Broad
TLEH	Trust	Investment Company Units	Morningstar Emerging Markets Factor Tilt Hedged Index	World	Broad
TLDH	Trust	Investment Company Units	Morningstar Developed Markets ex-US Factor Tilt Hedged Index	U.S.	Broad



November 12, 2015

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

SEC
Mail Processing
Section

NOV 13 2015

Washington DC
404

RE: Form 19b-4(e) – BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding four (4) derivative securities products that commenced trading on BATS Exchange on November 11, 2015. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
VP, Associate General Counsel
913.815.7154

RECEIVED
NOV 13 PM 2:57
SEC / MIA

Act	Securities Exchange Act of 1934
Section	19b-4
Public Availability:	NOV 13 2015